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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	155.015
	First Named Inventor	Adel B. Korkor
	Title	Catheter Introducer Having an Expandable Tip
	Express Mail Label No.	EV313039175US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. (<input checked="" type="checkbox"/>) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. (<input checked="" type="checkbox"/>) Applicant claims small entity status <i>See 37 CFR 1.27</i> 3. (<input checked="" type="checkbox"/>) Specification [Total Pages <u>34</u>] <i>(preferred arrangement set forth below, MPEP 1503.01)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 4. (<input checked="" type="checkbox"/>) Drawing(s) (35 U.S.C. 113) [Total Sheets <u>5</u>] 5. Oath or Declaration [Total Pages <u>3</u>] a. (<input checked="" type="checkbox"/>) Newly executed (original or copy) b. (<input type="checkbox"/>) Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i> i. (<input type="checkbox"/>) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b). 6. (<input type="checkbox"/>) Application Data Sheet. See 37 CFR 1.76 7. (<input type="checkbox"/>) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	8. (<input type="checkbox"/>) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. (<input type="checkbox"/>) Computer Readable Form (CRF) b. (<input type="checkbox"/>) Specification Sequence Listing on: i. (<input type="checkbox"/>) CD-ROM or CD-R (2 copies); or ii. (<input type="checkbox"/>) paper c. (<input type="checkbox"/>) Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. (<input checked="" type="checkbox"/>) Assignment Papers (cover sheet & document(s)) 10. (<input type="checkbox"/>) 37 CFR 3.73(b) Statement (<input checked="" type="checkbox"/>) Power of Attorney <i>(when there is an assignee)</i> 11. (<input type="checkbox"/>) English Translation Document (if applicable) 12. (<input checked="" type="checkbox"/>) Information Disclosure Statement (IDS)/PTO-1449 (<input type="checkbox"/>) Copies of IDS Citations 13. (<input type="checkbox"/>) Preliminary Amendment 14. (<input checked="" type="checkbox"/>) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. (<input type="checkbox"/>) Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. (<input type="checkbox"/>) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent 17. (<input type="checkbox"/>) Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

(☐) Continuation (☐) Divisional (☒) Continuation-in-part (CIP) of prior application No: _____
 Prior application information: Examiner Kathryn L. Assadi Group / Art Unit: 3763

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23598		or <input type="checkbox"/> Correspondence address below	
Name	Timothy E. Newholm				
Address	Boyle, Fredrickson, Newholm, Stein & Gratz, S.C. 250 East Wisconsin Avenue, Suite 1030				
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Name (Print/Type) Timothy E. Newholm	Registration No. (Attorney/Agent) 34,400
Signature	Date: August 5, 2003

22241 U.S. PTO
 10/634715
 08/05/03

08/05/03



PTO/SB/17 (01-03)

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$424)

Complete if Known

Application Number _____
 Filing Date August 5, 2003
 First Named Inventor Korkor
 Examiner Name Unassigned
 Art Unit Unassigned
 Attorney Docket No. 155.015

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number 50-1170
 Deposit Account Name Boyle Fredrickson Newholm Stein & Gratz S.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	375
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$375)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-20**= 1	9	9
3	-3**= 3	42	0
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$9)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$40)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34400	Telephone	414.225.9755
Signature				Date	August 5, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



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August 5, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal
Inventor(s): Korkor et al.
Title: *Catheter Introducer Having an Expandable Tip*
Assignee: A.B. Korkor Medical, Inc.
Continuation-In-Part of U.S. Pat. App. Ser. 09/896,035, filed June 29,
2001
USPTO Customer Account No.: 23598
Attorney Docket No.: 155.015

Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of **27** pages of specification, **21** claims on **6** sheets, **22** Drawings on **5** sheets, and an Abstract on 1 sheet;
- Utility Patent Application Transmittal (Form PTO/SB/05);
- our check in the amount of **\$424.00** in payment of the government filing fee for the patent application;
- An Information Disclosure Statement.



Small Entity Statement:

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

Related Application – Priority Claim

This application is a *continuation-in-part* of the following co-pending U.S. Patent Application Serial No. 09/896,035, entitled "*Catheter Introducer Having an Expandable Tip*" filed June 29, 2001.

Deposit Account Authorization

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Timothy E. Newholm".

Timothy E. Newholm
Registration No. 34,400

Enclosures

Certification Under 37 CFR 1.10

Express Mail Label No: EV313039175US

Date of Deposit: August 5, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "Jodi A. Calderon".
Jodi A. Calderon